

UNITED STATES DISTRICT COURT
DISTRICT OF MAINE

MARY ADAMS, et al.,)
)
 Plaintiffs)
) CIVIL NO. 1.10-CV-258-JAW
 v.)
)
 MAINE MUNICIPAL ASSOCIATION,)
)
 Defendant)

SUMMARY OF CAMPAIGN-RELATED MATTERS
PURSUANT TO F.R.EVID. 1006
FROM MAINE MUNICIPAL ASSOCIATION EXECUTIVE COMMITTEE MINUTES
(TABOR II AND EXCISE TAX 2008-2009)

October 3, 2008

Advocacy - Geoff Herman indicated that the State & Federal Relations department is efficiently staffed and envisions no major challenges in 2009 outside of its normal responsibilities to deal with legislative issues facing municipalities. He noted that with regard to the Repeal of the School District Consolidation Laws, the Association is taking no position due to the division of municipalities throughout the state. He provided information on TABOR II and noted that this legislation is primarily directed at state government. TABOR II would impose somewhat burdensome and cumbersome requirements on municipalities (e.g., referendum voting, etc.). He then discussed the Auto Excise Tax initiative and noted it would have major implications for municipalities. In closing, Geoff Herman indicated that the \$100,000 allocated in the Legislative Initiatives Fund should be adequate to deal with advocacy issues in 2009.

January 29, 2009

Preliminary Update on Citizen Initiatives Campaign - Chris Lockwood provided a preliminary update on the development of campaign efforts regarding possible citizen initiatives ballot measures. He noted that the signatures have been filed with the Secretary of State for certification. MMA anticipates that each initiative will be certified and go before Maine voters in June or November 2009. Chris Lockwood noted it is a complex campaign given the fact that there are three citizen initiatives and that preliminary discussions support having one Political Action Committee to oppose all three initiatives. He provided information on meetings between MMA and the Maine Education Association (MEA) to initiate campaign efforts to begin outreach efforts and identify a campaign manager and funding sources. Chris Lockwood

also provided information on polling efforts anticipated to take place in February to help the PAC determine messaging for the campaign. Chris Lockwood noted that at the February meeting of the MMA Executive Committee, staff will bring forth a recommendation that the MMA Executive Committee authorize the Executive Director to expend funds in the range of \$10,000 from the MMA Legislative Initiatives Fund to support start-up costs associated with organizing the Political Action Committee. He noted that the MMA Legislative Initiatives Fund has \$150,000 available. Members of the MMA Executive Committee provided their support for the direction MMA has taken relating to the Citizen Initiatives and noted that they would be comfortable with staff bringing forward a recommendation in February for financial support of the campaign.

February 26, 2009

Citizen Initiatives - Chris Lockwood provided the MMA Executive Committee with information on efforts to form an organization in anticipation of various proposed citizen initiatives. **John Anderson MOVED that the MMA Executive Committee authorize the Executive Director to enroll the Maine Municipal Association as a member of a Political Action Committee (PAC) focused on the TABOR II and Excise Tax citizen initiatives and to expend up to \$25,000 from the Legislative Initiatives Fund in conjunction with this effort. The Executive Director will provide periodic updates on the campaign and will seek approval from the Executive Committee for further expenditures. The motion was seconded by Mark Green and passed with all in favor.**

April 16, 2009

4. Citizen Initiative Update - Chris Lockwood provided an update and reviewed background information on the organizational efforts related to the Excise Tax and TABOR II citizen initiatives. He noted that the Campaign ad hoc committee decided to take an “a-la-carte” approach to the campaign and retained Kay Rand and John Melrose’s firms to do the outreach effort to business and civic leaders. The purpose of the outreach effort would be to:

- Inform of the Coalition’s campaign effort;
- Solicit business and civic leaders to be part of the Coalition;
- Gauge fundraising potential, and
- Determine if a joint effort on excise tax and TABOR II is feasible.

Chris Lockwood noted that the ad hoc committee is also working behind the scenes on a legislative strategy to possibly offer a competing measure to TABOR II. He then provided information on future polling by Tom Kiley’s firm to determine messaging and if a competing measure on TABOR II would benefit the campaign.

Discussion was held on the make-up of the ad hoc committee and financial contributions made to date. Chris Lockwood noted that the ad hoc committee is made up of management and lobbyist staff of the Maine Municipal Association, Maine Education Association, Maine State Employees Union, Engage Maine, and Maine Service Center Coalition. He noted that

the Maine Education Association has an existing PAC that has been offered as a clearing house for the campaign until a more structured campaign and Political Action Committee is in place. He indicated that to date, MMA has provided \$10,000 and MEA has noted that they are seeking funds from their national office.

May 28, 2009

Legislative and Citizen Initiatives Campaign Update-

Chris Lockwood provided an update on the Citizen Initiatives Campaign efforts. He noted that a copy of the letter sent to the president of each MMA Affiliate Group which provided information on MMA's efforts relating to the citizen initiatives was included in the Executive Committee packet materials. He indicated that the ad hoc steering committee has made good headway working with consultants Kay Rand and John Melrose. He provided an overview of those efforts as outlined in the memorandum and noted that Kay Rand and John Melrose have been invited to submit proposals for the next phase of the campaign. He provided a brief overview of the time frames for the project and noted that since the MMA Executive Committee is not scheduled to meet again until late June, it would be helpful for the Committee to authorize him to expend funds to support the campaign.

Ryan Pelletier MOVED that the MMA Executive committee authorize the Executive Director to expend up to \$50,000 (\$25,000 in addition to the \$25,000 already authorized), with the concurrence of the MMA officers, from the Legislative Initiatives Fund in conjunction with the Excise Tax and TABOR II citizen initiatives. The Executive Director will provide periodic updates on the campaign and will seek approval from the Executive Committee for further expenditures. The motion was seconded by John Sylvester. Anne Swift-Kayatta questioned the amount of funds available in the Legislative Initiatives Fund. Chris Lockwood noted that the Fund had \$150,000 budgeted and that MMA has expended \$10,000 on the campaign since January 1, 2009. There being no further questions, MMA President Galen Larrabee called for a vote on the motion. **The motion passed with all in favor.**

Peter Nielsen provided information on the negative editorials that have surfaced relating to Kay Rand's use of the newspaper editorial page to promote her professional concerns/points relating to TABOR II. Chris Lockwood noted that he was aware of the negative publicity this has received and that the ad hoc steering committee would discuss this issue with her as the campaign moves forward.

John Sylvester asked if MMA had a written legal opinion regarding what local officials can and cannot do in terms of taking positions and providing information regarding citizen initiative measures. Chris Lockwood indicated that he would check with MMA Legal Services on this. Generally speaking, Chris indicated that he viewed citizen initiatives as an extension of the legislative process. He indicated that he would have Legal Services follow-up on this issue.

June 25, 2009

Campaign Update - Chris Lockwood provided an update on preliminary work with Kay Rand

and John Melrose leading to their recommendation to have one Political Action Committee for both the Excise Tax and TABOR II citizen initiatives. He noted that the official name of the PAC would be "*Citizens Unified for Maine's Future.*" He provided information on the work of the Steering Committee to identify a strong and experienced campaign staff and the role MMA will play heading up the PAC Finance Committee, offering administrative support as the fiscal agent for the PAC, drafting materials to respond to the Secretary of State's draft wording of the ballot questions, submitting essays for the Voters Guide published by the Secretary of State, as well as membership outreach efforts through municipal road shows in September and early October, offering information on the MMA website, identifying elected officials and others to be key spokespersons for the campaign and soliciting support from MMA affiliate groups.

Geoff Herman provided information on the results of the Tom Kiley polling on messaging and provided an overview of the major focus points for the excise tax campaign. He noted that the approval rating for local government was 63% in May 2006 and has risen to 71% in June 2009. He noted that the Association has not made any additional financial contributions since its initial \$10,000 contribution paid in March 2009. John Sylvester expressed concerns that MMA needs to stay on top of TABOR II and its impacts on municipalities. Geoff Herman noted that the PAC is working on two campaigns (1) excise tax, and (2) TABOR II. He noted that although MMA's major focus will be on the excise tax campaign, MMA will also be heavily involved in strategizing for the TABOR II campaign. Geoff Herman provided information on the short-term efforts to draft materials to respond to the draft wording of the ballot questions and to submit essays for the Voters Guide. He noted that long-term efforts will focus on membership outreach and developing a speaker's bureau in order to respond to requests for speakers, etc. He noted that the MMA will also be involved in training speakers.

Peter Nielson indicated that he was pleased to see municipalities have the highest credibility rating, and questioned if we know the credibility rating for Maine Heritage or the Maine Municipal Association. Chris Lockwood and Geoff Herman indicated they were not aware of credibility ratings for each organization.

Steve Bunker suggested that MMA keep the Affiliate Groups informed on the campaign and invite them to be part of the PAC speakers' bureau. A short discussion was held on efforts to work with MMA Affiliate Groups. Chris Lockwood provided information on reportable activity relating to the PAC and noted that MMA's efforts need to be educational/not influential. Bill Livengood noted that MMA will provide a Legal Note in the next issue of the Townsman that provides guidance relating to partisan advocacy.

August 17, 2009

Campaign Contribution - Galen Larrabee noted that the purpose of the conference call was to provide the Executive Director with authority to make an additional contribution to Citizens Unified for Maine's Future, the Political Action Committee organized to oppose the Excise Tax and TABOR II citizen initiatives. **John Anderson MOVED that the Maine Municipal Association Executive Committee authorizes the payment of \$60,000 to Citizens Unified for Maine's Future from the MMA Legislative Initiatives Fund. The motion was seconded by Sophie Wilson.** Peter Nielsen requested a verbal update on the financial status.

Chris Lockwood noted that the PAC has entered into a contract with Kay Rand of Bernstein Shur Governmental Solutions Strategies and John Melrose of Maine Tomorrow to begin the next phase of outreach efforts to solicit support and campaign contributions. He noted that at this time there are fairly modest funds available for the PAC. He indicated that the Maine Education Association is contributing \$100,000 this week and that they have a meeting in Washington DC later this week with the political directors of a number of national labor organizations to request additional funds. He indicated that it is important that as they enter into this meeting, that Citizens Unified is able to show the financial commitment of other organizations. In response to questions raised by Clint Deschene regarding the possible earmarking of contributions for Excise Tax vs. TABOR II, he noted that one of the advantages of the way the Campaign is structured is that it allows the campaign's Steering Committee to make decisions to allocate funds as they determine necessary.

Chris Lockwood noted that a training session for campaign speakers will be held this Wednesday and Thursday and again in early September. He also indicated that the campaign has commissioned a new poll that started Sunday, August 16, to obtain an updated status regarding the level of support/opposition and to test message points for both initiatives. He noted that he and Geoff Herman would provide a full update on the campaign efforts to the Executive Committee at their meeting on August 27.

August 27, 2009

Citizen Initiatives Campaign - Chris Lockwood provided an update on the Citizens Unified for Maine's Future campaign and fund raising efforts. He noted that the campaign is looking to raise \$2.5 million for the campaign and that approximately \$700,000 has been raised to date. He noted that a majority of the funding is needed to run the media campaign. It was noted that the funds raised would be used to run both the Excise Tax and TABOR II campaigns without any restraints being made by contributors. Chris Lockwood noted that the recent polling was completed last week and provided an overview of the proposed messaging that would work for both campaigns. He indicated that training for campaign speakers was held last week and another session will be held in mid September.

October 1, 2009

Campaign Update - Chris Lockwood provided an update on the Excise Tax and TABOR II citizen initiatives campaign efforts. He noted that at this point the focus is on getting the word out through local cable stations, media, newspapers, advertisements. He recognized the MMA State & Federal Relations staff for their work on the campaign, including updates to the MMA website, responding to inquiries for data and/or meetings, facilitating road shows, etc. He noted that involvement in the campaign has been fast-paced with a high reliance on MMA staff. Chris Lockwood presented the four campaign advertisements developed for TABOR (Door Bell, Fine Print, and Teacher) and for Excise Tax (Tow Truck).

Chris Lockwood then provided an overview of funding for the campaign (Resolution and MMA Contributions - Handout 2; Confidential materials relating to campaign budget, funding sources

and polling results Handout 3). Steve Bunker questioned if MMA has approached the Affiliate Groups regarding financial contributions. Chris Lockwood indicated that MMA has not approached them to date, however MMA's advice would be that they make those contributions through the established campaign Political Action Committee. Discussion was held on cautioning municipal professional organizations regarding reporting requirements and avoiding any violations relating to the election laws.

Geoff Herman indicated that the comments on the road shows and campaign efforts have been effective, however the public has not been very engaged at any of the road shows to date. He noted the forum at the Bangor Chamber of Commerce was well attended and interactive.

Anne Swift-Kayatta cautioned that the timing of television advertisements needs to take into consideration when citizens will vote using Absentee Ballots. Discussion was held on TABOR II and the key elements for municipal government. Peter Nielsen indicated his concern with the TABOR II ads using the "fear" factor rather than the advantages of running local government as a business. He noted that providing citizens with hard facts is more effective.

Bill Reed MOVED that the Maine Municipal Association Executive Committee authorize a contribution of \$60,000 to Citizens Unified for Maine's Future from the MMA Legislative Initiatives Fund to complete MMA's pledged financial support. The motion was seconded by Mark Green.

Galen Larrabee questioned whether management would need to request additional funds from the 2009 General Fund surplus to support the campaign. Chris Lockwood noted that he anticipates additional funds will be needed and if so, he would plan to schedule a conference call of the Executive Committee in mid October to request an additional amount from surplus (potentially between \$50,000 and \$100,000). Galen Larrabee called for a vote on the motion. **The motion passed with all in favor.**

John Sylvester noted that Chris Lockwood does a great job communicating with the officers on a regular basis to use as a sounding board before bringing any major issues before the Executive Committee. An in-depth discussion was held on whether MMA should contribute additional funds if needed. Several of the Committee members indicated that they would not be in favor of contributing additional funds, while others indicated that they would support in an effort to ensure that the citizen initiatives are defeated. Chris Lockwood noted that he would relay this message to the PAC and strongly encourage them to continue their efforts to identify other resources for the additional funding. Discussion was held on the Association's position for spending public funds to support the campaign. Geoff Herman and Chris Lockwood provided a response noting one of the main purposes of MMA is to advocate to strengthen Maine's local government and that this is an extension of those advocacy efforts. Chris Lockwood noted that MMA corporate counsel has looked at this issue and feels we are legally on solid ground if challenged in the court of law.

October 22, 2009

Campaign Update - Chris Lockwood provided an update regarding the Question 2 and Question 4 campaigns highlighting information on marketing strategies, track polling results and funding. He noted that the Executive Committee is being asked to make an additional \$20,000 contribution to Citizens Unified for Maine's Future. Following a brief discussion on the campaign, **John Anderson MOVED that the Maine Municipal Association Executive Committee authorize the transfer of \$20,000 from the 2009 General Fund operating gain to the MMA Legislative Initiatives Fund effective October 22, 2009. Further, that the Maine Municipal Association Executive Committee authorize the Executive Director to contribute additional amounts up to \$20,000 in the aggregate to Citizens Unified for Maine's Future from the MMA Legislative Initiatives Fund. The motion was seconded by Mark Green and passed with all in favor.**

To: MMA Executive Committee
From: Chris Lockwood, Executive Director
Subj: Recap of 2007 MMA Goals, Objectives & Priority Initiatives
Date: March 27, 2008

I appreciate the opportunity to provide a recap of our efforts to achieve MMA's 2007 Goals, Objectives and Priority Initiatives. I have attached a year-end status report outlining results related to each of the 2007 Priority Initiatives. Through this memorandum, I will offer my perspective on various items of note, both with regard to particular priority initiatives as well as overall organizational goals and objectives.

Overview

2007 was a year of transition. MMA had been immersed in citizen initiative campaigns (Question 1A, the Palesky Tax Cap, and TABOR) since 2002. These campaigns demanded extraordinary organizational resources and attention. The campaigns also posed a risk that our members might perceive MMA in a different manner or that MMA's focus on other aspects of our core mission might be diverted.

In my judgment, it was important that MMA undertake a significant effort to "check-in" with our membership and to identify key areas warranting attention for MMA's continued success. The primary purpose of the front porch meetings was to reach out to our members for input on key program and service issues identified in the 2006 Membership Survey. It was reassuring, after our immersion in a series of citizen initiative campaigns, to obtain the following key findings from the Membership Survey and front porch meetings

- MMA's current service program is largely on the mark.
- MMA's commitment to high quality service, with a personal touch, is highly valued by our members.
- MMA should use our Strategic & Business Planning process to maintain our focus and refine our programs to meet additional member needs, such as in the areas of municipal financial management and citizen education.

Advocacy

The attached year-end status report provides an in-depth report on various advocacy issues. I would draw your attention to the following:

- Alternative to TABOR Coalition – MMA was a key member of this coalition together with the Maine State Chamber of Commerce, Maine Hospital Association, Maine Education Association, and Maine Service Center Coalition. MMA's State and Federal Relations staff took lead responsibility for drafting LD 804, An Act to Ensure

Responsible Government Spending, Investment and Educational Efficiency. I arranged and participated in a series of editorial board meetings in January and February. Our participation in these meetings provided a solid basis for my Op-Ed column in June taking strong exception to the Kennebec Journal's mischaracterization of MMA's position regarding school consolidation.

- Relationship with Governor Baldacci – I worked with MMA President Nick Mavodones and Vice President Anne Swift-Kayatta to reestablish periodic meetings between Governor Baldacci and the MMA Executive Committee. The value of this effort was perhaps best reflected in the dialogue which took place in the Executive Committee's most recent meeting with the Governor at the MMA office on January 24, 2008

Addressing Organizational Issues/Needs

As I discussed during my performance evaluation meeting with the Executive Committee last May, I am very pleased with the organizational realignment I put in place in early 2006 upon the retirement of Chuck Jackson, who had served as our in-house General Counsel. This realignment had the following key components:

- Promotion of Steve Gove to a newly established position of Deputy Director and Director of Health Trust Services;
- Promotion of David Barrett to Director, Personnel Services and Labor Relations; and
- Outsourcing our corporation counsel function to the Bernstein Shur law firm.

I believe MMA has been well served as a result of this realignment. Steve Gove and I have a strong working relationship and have identified specific areas in which Steve has primary responsibility (e.g., both the Chief Financial Officer and Director of Administration and MIS report directly to Steve; Steve chairs the internal Budget Working Group). David Barrett has developed a strong internal Human Resources team that is an important asset for MMA as a professional services organization. Our arrangement with Bernstein, Shur provides us with experienced legal counsel on a wide range of issues (e.g., construction management, information technology contracts, labor law, etc.).

We utilized our Strategic & Business Planning process in 2007 to sort through a wide range of organizational issues and to obtain guidance and input at the September Executive Committee strategic planning meeting. As a result, we are maintaining our focus on our on-going core programs as well as moving forward on a range of priority initiatives.

Summary

I hope this memorandum and the attached year-end status report provide a recap of key activities and accomplishments. I appreciate your support and commitment to maintain MMA's high standards of quality service and excellence. I look forward to the opportunity to discuss these issues with you at your March 27 meeting.

To: File
From: Chris Lockwood
Subj: Meeting notes - Excise Tax & TABOR II citizen initiatives
Date: January 27, 2009

Attendees: Maria Fuentes (MBTA), Jack Sutton (MBTA Board & Belgrade Budget Committee Chair), Greg Dore (MBTA Board Chair), John Melrose, Steve Bradstreet (President, Maine Chapter, American Public Works Assn), Geoff Herman, Kate Dufour, Chris Lockwood

The purpose of the meeting was to discuss the above referenced initiatives and outline next steps in working with MBTA and the Maine Chapter of APWA. Key follow-up steps include:

- Kate will contact Peter Coughlin (Local Road Center) to obtain information on cost trends and will prepare a draft exhibit to include in informational materials.
- Geoff/Kate will review TABOR II to determine the effective date and the base year for the Highway Fund for the purpose of the spending limitation
- Kate will follow-up with Maria to schedule a time to meet with the MBTA Legislative Committee in February.
- Chris will be available to meet with the MBTA Board on Thursday, March 12 – he will need meeting details from Maria
- Chris will follow-up with Steve Bradstreet to get on the agenda for the Thursday, March 5 APWA Board meeting at MMA.
- Chris will include the June 4 Highway Congress (Skowhegan) on the campaign schedule – particularly for the campaign manager & fund raiser to make contacts

To: MMA Executive Committee
From: Chris Lockwood
Subj: Citizen Initiatives
Date: February 19, 2009

As I reported at the January 29 Executive Committee meeting, we are working with the Maine Education Association and other organizations to begin the formation of a Political Action Committee (PAC) in anticipation that the TABOR II and Excise Tax citizen initiatives will be ballot measures later this year.

We have solicited proposals from a number of firms interested in serving as the overall campaign manager. During the next several weeks, we will be assembling an ad hoc steering committee to review these proposals and conduct interviews for the purpose of selecting a firm. This will be a key step in formally establishing the PAC and beginning the process of building the coalition, fundraising, and mapping out the key elements of the campaign strategy.

We are hopeful of building a broad based coalition and engaging a firm that will understand the need for a strong fundraising effort, together with a well rounded campaign incorporating grassroots efforts and earned media as well as paid media. At the front end of this process, we believe it will be important for MMA to assist in providing start-up funding. To this end, we offer the following motion for your consideration:

Motion: The MMA Executive Committee authorizes the Executive Director to enroll the Maine Municipal Association as a member of a Political Action Committee (PAC) focused on the TABOR II and Excise Tax citizen initiatives and to expend up to \$25,000 from the Legislative Initiatives Fund in conjunction with this effort. The Executive Director will provide periodic updates on the campaign and will seek approval from the Executive Committee for further expenditures.



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www.memun.org

To: Presidents of Affiliate Groups
From: Chris Lockwood, Executive Director
Subj: Update on citizen initiatives
Date: May 5, 2009

I thought it might be helpful to provide a brief update on MMA's efforts to organize a campaign and to assist municipal officials in understanding and communicating the potential impacts of proposed citizen initiatives including a revised version of the Taxpayer Bill of Rights (TABOR II) and a proposal to reduce Motor Vehicle Excise Tax revenues by over 40%.

We recognize that affiliate groups and other municipal officials are very interested in getting quality information about these proposals and their impacts out to the public, but we believe it is important within the highly charged environment surrounding political campaigns that all communications to the general public be strictly accomplished according to legal standards and be well coordinated to ensure accuracy and consistency.

Background

At this point, four citizen initiatives have been presented to the Legislature and are at various stages of consideration in the legislative process:

- LD 974 – *An Act to Decrease the Automobile Excise Tax and Promote Energy Efficiency;*
- LD 975 – *An Act to Establish the Maine Medical Marijuana Act;*
- LD 976 – *An Act to Provide Tax Relief.* (Note – this is the bill which is generally being referred to as the revised Taxpayer Bill of Rights – TABOR II); and
- LD 977 – *An Act to Repeal the School District Consolidation Law.*

The Legislature has three options regarding citizen initiatives:

1. Enact the measure verbatim (i.e., without any changes).
2. Send the measure to be approved or disapproved by the voters at a referendum election.

3. Prepare a competing measure and send the citizen initiative and competing measure to the voters at a referendum election. This would result in a three choice ballot question (a- approve the citizen initiative; b – approve the competing measure; c – none of the above).

During the coming weeks, the Legislature will decide which option to follow with respect to each of the four citizen initiatives. For planning purposes, we believe it is likely the Legislature will decide to send all of the initiatives to a referendum election on November 3, 2009.

Efforts to Organize a Campaign

The Maine Municipal Association Executive Committee and Legislative Policy Committee have taken positions in opposition to the Excise Tax and TABOR II initiatives. MMA is actively working with other organizations to build a coalition and form a Political Action Committee(s) to advocate the defeat of these measures.

The purpose for forming a political action committee is twofold: to ensure a well coordinated campaign and to ensure compliance with State law (MRSA 21A) governing the activities and reporting requirements for organizations involved in efforts to support or defeat a citizen initiative and other ballot measures.

During the past few months we have outreached to a broad spectrum of organizations and businesses to inform them regarding these measures. We are pleased with the response and will be moving forward during the coming weeks to establish a political action committee and to assemble a campaign team.

Information for Municipal Officials

MMA is utilizing our website (www.memun.org) to provide on-going information to municipal officials on the citizen initiatives (click on "Legislative/Advocacy – Citizen Initiatives"). The website currently includes a copy of the proposed citizen initiative and related background information. We will update the materials on the website during the course of the campaign.

MMA will also assist municipal officials in identifying and communicating the potential impacts of the Excise Tax and TABOR II initiatives to assist citizens in making informed decisions regarding these measures.

I hope the above information is helpful. Please let me know if you have any questions or would like additional information or would like someone from MMA to attend a meeting of your board or membership. I may be contacted at 1 800 452 8786; 623 8428; clockwood@memun.org.



Maine Municipal
Association

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To: MMA Executive Committee
From: Chris Lockwood
Subj: Citizen Initiatives Update
Date: May 18, 2009

I have provided regular updates to the MMA Executive Committee on MMA's work with the Maine Education Association and other organizations to begin the formation of a Political Action Committee (PAC) in anticipation that the TABOR II and Excise Tax citizen initiatives that will be ballot measures later this year.

As you will recall, in February the MMA Executive Committee authorized that the Maine Municipal Association enroll as a member of a Political Action Committee (PAC) focused on the TABOR II and Excise Tax citizen initiatives and authorized me to expend up to \$25,000 from the MMA Legislative Initiatives Fund in conjunction with these efforts.

Early on our ad hoc campaign committee recognized the importance of broadening the composition of our coalition. Working through Citizens Who Support Maine's Public Schools (a PAC maintained by the Maine Education Association), we engaged Bernstein Shur Governmental Solutions (Kay Rand – principal) and Maine Tomorrow (John Melrose – principal) to undertake an outreach effort to members of Maine's general business, transportation, and construction, higher education and the Maine sportsmen committees. To date, MMA has contributed \$10,000 to support this effort.

Kay Rand and John Melrose provided the ad hoc steering committee with their final report on May 12. The outreach efforts have proven to be quite effective in identifying individuals and organizations/companies that share concerns regarding these initiatives and assessing potential fundraising opportunities. In addition, this early outreach effort has had an "inoculation" effect by providing solid background information on the initiatives to assist contacted individuals in making informed decisions. Based on their reconnaissance, Kay Rand and John Melrose have recommended that the campaigns against these measures be combined under one Political Action Committee.

Following the May 12 meeting, Mark Gray and I met with Kay Rand to discuss engaging Bernstein Shur Governmental Solutions and Maine Tomorrow to assist in:

- Identifying and contacting individuals from key sectors to consider serving on a campaign steering committee

- Continuing the outreach effort
- Formulating a more specific fund-raising strategy and proposal
- Assisting the ad hoc committee in convening a steering committee meeting and moving forward with the establishment of a PAC

We expect to receive a proposal from Kay Rand this week and are intent on moving forward with the establishment of a PAC by mid-late June. This work will be key both in building a stronger coalition as well as providing a solid fundraising effort to support the campaign

Another important campaign effort will be a poll to test messaging. The campaign's polling firm is working on draft questions, with a target of being in the field in late May-early June. We anticipate the poll will cost approximately \$25,000.

We are building the foundation to obtain financial support from a broad base of contributors, but during this formative stage, it is important to maintain momentum and accomplish the key tasks referenced above. Since the Executive Committee is not scheduled to meet again until late June, I am seeking an increased authorization from the Executive Committee to make additional contributions to support the next phase of the campaign. The following motion is offered for your consideration:

MOTION:

The MMA Executive Committee authorizes the Executive Director to expend up to \$50,000 (\$25,000 in addition to the \$25,000 already authorized), with the concurrence of the MMA officers, from the Legislative Initiatives Fund in conjunction with the Excise Tax and TABOR II citizen initiatives. The Executive Director will provide periodic updates on the campaign and will seek approval from the Executive Committee for further expenditures.

TO: MMA Executive Committee
FROM: Chris Lockwood
DATE: July 22, 2009

I am enclosing an Executive Director Update to keep you posted on the wide range of activities throughout the organization. In addition, I thought it might be helpful to provide a more in-depth update regarding the citizen initiatives campaign and a preview of the schedule for the August 27 Executive Committee and Strategic & Finance Committee meetings.

Citizen Initiatives Campaign Update

Establishment of the PAC

MMA has been actively working to gear-up for the campaigns to oppose the Excise Tax and TABOR II citizen initiatives which will appear on the November 3, 2009 election ballot. Chris Lockwood is serving on the Steering Committee and chairing the Finance Committee; Geoff Herman and Jeff Austin are serving on the Strategy Committee responsible for overseeing key campaign strategy activities.

Paperwork was filed on July 17 to register "***Citizens Unified for Maine's Future***" as a Political Action Committee (PAC) to oppose both the Excise Tax and TABOR II initiatives.

As an in-kind contribution, MMA will serve as the fiscal agent for the PAC, handling receipts and preparing checks for the signature of the PAC treasurer. Bernstein Shur law firm will oversee this process to ensure compliance with campaign reporting requirements.

The PAC has pulled together a strong and experienced campaign staff, including:

- Toby McGrath (Maine Street Solutions) will serve as campaign manager. Toby has significant experience within the Maine Legislature and was State Director of the Obama campaign in Maine during the last election cycle.
- Julie Norton (Squier Knapp Dunn Communications) will be working as a member of our campaign team with a major focus on traditional media. She was a key part of our team in 2006 when we defeated TABOR the first time around.

- Will Robinson (The New Media Firm) will be working as a member of our campaign team with a major focus on "new media". Will has many years of communications, media and political consulting experience at both the state and national levels.
- Tom Kiley (Kiley & Co.) will be our public opinion research consultant. He has been part of our campaign teams since we defeated the Palesky referendum in 2006.
- The PAC is currently working to identify and bring on board a Communications Director to handle earned media, campaign scheduling, etc.
- Attorneys Kate Knox and Dan Riley of Bernstein Shur will serve as legal counsel.

The PAC is engaging the firms of Maine Tomorrow and Bernstein Shur Governmental Solutions to conduct fundraising for the campaign based on their outreach efforts during the past few months to a broad spectrum of business and community groups. Formal resolutions are being circulated to organizations to confirm their position and provide authorization to list their organizations in opposition to the two ballot measures.

Geoff Herrman and Jeff Austin have taken a lead role in drafting materials to respond to the Secretary of State's draft wording of the ballot questions. Enclosed with this update is the letter MMA has sent to the Secretary of State with suggested changes to the wording of the Excise Tax ballot question. The PAC will submit a letter of support for MMA's suggested changes. The PAC will also submit a letter with suggestions for the TABOR II ballot question.

MMA also researched the process for submitting essays for the Voters Guide published by the Secretary of State. We are coordinating with the PAC to identify individuals/organizations to submit essays (up to three essays can be submitted in support or opposition to each ballot measure). MMA contacted Roger Katz, Mayor of Augusta, and Jill Goldthwait (former State Senator and MMA Past President) and assisted them in submitting the attached essays.

The PAC is asking coalition members to identify individuals willing to serve as speakers on either TABOR II or the Excise Tax measures. The PAC will provide training for speakers and coordinate requests for speakers.

MMA's Membership Outreach

- Road Shows – The MMA State & Federal Relations staff is gearing up to offer a host of road shows throughout Maine in September and early October to assist municipal officials in understanding and communicating the potential impacts of both citizen

initiatives. This will be very similar to the road shows offered during the 2006 TABOR campaign.

- Web site – MMA will mirror our efforts from 2006 to make the MMA website the “go to resource” to become educated on the citizen initiatives and their impacts, including data analyses, experiences in other states, etc.
- Speakers – we are making calls and sending e-mails to identify municipal officials in areas around the state who would be interested in speaking on the Excise Tax and/or the TABOR II measures.
- Affiliate group meetings – we are providing updates and briefings to various affiliate groups.

Preview of MMA Board Meetings for Thursday, August 27

Here is the tentative schedule for Thursday, August 27:

MMA Strategic & Finance Committee Meeting – 9:00 a.m. – 10:30 a.m.

- Building Project Update
- PERS
- ICMA RC

MMA Executive Committee Meeting – 10:45 a.m. – 2:00 p.m.

We are in the process of developing an agenda for the Executive Committee meeting. As was suggested at the June 25 Executive Committee meeting, we have extended an invitation to Richard Connor, the CEO of Maine Today Media (Portland Press Herald, Kennebec Journal, Morning Sentinel, etc.) to meet with the Executive Committee. We will keep you posted regarding this invitation and the meeting agenda and location.

Please contact me if you have any questions or would like additional information on any of these items.

MMA EXECUTIVE DIRECTOR UPDATE

MMA Executive Director Update

July 22, 2009

Executive Office (Governance & Membership Issues)

Municipal Membership – The Executive Office has processed the following membership mailings since June 15:

- Letter to Codyville Plantation terminating their Municipal Membership status effective July 15, 2009;
- Profile of Services – The new Town Manager of Winter Harbor has requested a Profile of Services;
- General Membership Notice on Proposed Slate for the 2010 MMA Executive Committee along with information on the petition process;
- General Membership Notice of the final Slate and Voting Ballot, including a Nominee by Petition;
- County Municipal Association Meeting Notices – 1 (Franklin County – Meeting held June 24); and
- New Manager Letters – 1 (Winter Harbor).

Associate Membership – MMA has received 1 new request since June 15 from entities interested in determining their eligibility for Associate membership status:

- Jay-Niles Memorial Library – Joined MMA July 1

As a result of recent Membership Eligibility Reviews that have been completed, MMA has received confirmation from the following entities confirming their interest in membership and participation in one or more of the group insurance and self-funded programs:

- RSU 26 – Effective July 1
- RSU 23 – Effective July 1

Human Resources, Personnel Services and Labor Relations

Denise has accepted a position as Office Coordinator for the Training & Affiliate Services. Her last day in HR will be Friday, July 24. The position of HR Assistant has been posted.

Several revisions to policies have been made. Appendix F MMA Credit Card Policy has been revised. An

Agreement has been drafted in preparation for the credit card change. All employees who have MMA credit cards will need to come to HR to exchange for the new card. An Agreement must be signed along with viewing the revised Appendix.

Appendix D, Family Medical Leave Calculation, has been revised to add in language required for the new FMLA law. The Employee Rights and Responsibilities language has been added to the appendix.

Appendix A, Security After-Hours Access Policy has been revised. Language was added stating that employees staying after 9:00 p.m. must notify Sea Coast Security and also give a cell number where the employee can be reached. The second change was that a 5th Occurrence was added for those who violate the security procedures. This policy was passed out to the management group for any suggestions.

Reminder that the Employee Appreciation summer picnic is scheduled for July 31 at the MMA office between 11:30 a.m. and 2:00 p.m. Directors were reminded to be present to hand out Years of Service Awards to their employees. HR has already notified Directors and Managers of those employees

State & Federal Relations

Staff members have been attending the Political Action Committee (PAC) Steering Committee meetings and certain subcommittee meetings, participating in the interview process for the PAC's Communication Director position, preparing draft essays for the Voters Guide for submission to the Secretary of State, preparing and submitting comments to the Secretary of State on the wording of the two questions to the voters, and working on logistics for over a dozen informational meetings to be held across the state in late summer and early fall. Staff is also working to put together the information packets for these meetings, as well as posting information to the MMA web site in an effort to continuously update information to the membership.

Communications & Educational Services

Communications & Educational Services Department staff is working on a variety of projects, including the July Townsman, MMA Convention, citizen education program, summer and fall Affiliate Group meetings, 2009 Salary Survey, and 2009-10 Municipal Directory.

Joan Kiszely and Janice Tevanian attended the National League of Cities State Municipal League Staff Development Workshop in Louisville, KY, during the middle of July.

Denise Kolreg, who has worked several years for MMA's HR Department, has accepted the position of Office Coordinator for Affiliate & Educational Services within our department. A former employee, Nancy Sargent, has been temporarily working for MMA this summer to fill gaps created by staff turnover in the training and affiliate group area.

MMA Convention information and registration materials will be included in the July issue of the Townsman and on the MMA website.

Legal Services

Although we are still receiving a good number of calls related to school budget adoption and consolidation issues, Legal Services is currently trying to make some headway updating manuals and becoming familiar with the many new laws enacted by the recent Legislature.

A revised *Municipal Roads Manual* is in the final stages of processing and should be available to the members early next month. We have tried to make the new edition more user-friendly by moving the case citation from within the text to the end of each chapter.

Kristin Collins and Michael Stultz will be teaching "Municipal Law for Clerks" in Waterville on July 23. Michael will be on military leave July 24 - August 15.

Finance Department

Finance is involved in several significant projects, in addition to its on-going functions:

Transition of accounts from Key Bank to Bangor Savings Bank: This has been a major undertaking because it involves learning new on-line systems as well as managing the movement of over \$150 million in

assets. The transition is going very well thanks in part to the support we have received from Key Bank in winding things down on their end and from Bangor Savings Bank in initiating services on their end.

Audit Services RFP Process: MMA has formed a committee representing MMA's governing boards and we will be getting out the RFP by the end of the month.

GASB 45 Reporting: We are working with the Health Trust actuary to provide employer groups with reports on their retiree health benefit liabilities) and arranging a GASB 45 workshop (9/17/09).

MIS & Administration

The staff relocations were completed two weeks ago and the contractor has begun the renovation work in the lower level area. Next area that will be affected is the Executive Office area and that is scheduled for the week of August 3rd. The site work is almost complete. Well driller is on site, plan is to drill down 1,200 feet and expect that it will take the better part of the week. Weather permitting we will offer staff an opportunity to tour the building site on Friday, July 31st, the day of the staff outing.

The IT department is deploying new PCs to the RMS staff upgrading them to Office 2007. This is the end of the Office upgrade and was held off until we could upgrade the claims system. The system will be available to RMS staff sometime this week. We are also bringing a resource on-site from AON (the claims vendor) to assist the claims staff in this transition.

Health Trust Services

Gail Schroeder, part-time Health Trust Field Service Representative, has been on an extended leave of absence since late April 2009 with an unknown return date (now possibly up to six months or more.) While Gail is hopeful she may be able to return to work in the future, currently she is not able to perform her position duties and responsibilities. MMA Human Resources has been working with Gail to be continue her benefits during her leave. We wish Gail a continued recovery and hope to welcome her back when she is able to return. Gail had been working on a part-time basis since early 2008 under a pilot arrangement.

Full-Time Field Service Representative Recruitment –

Health Trust staff has been working diligently to ensure Trust employer groups' and participants' needs have been met in Gail's service areas. However, with the advent of new program offerings effective as early as January 1, 2010 and the increasing requests for personal group consultations and complex benefit comparisons, the department recently assessed its growing business needs. As a result, we will be recruiting for a full-time Field Service Representative. This full-time (37.5 hours per week) Field Service Representative position is currently approved in the 2009 budget. However, the pilot part-time Field Service Representative position was funded for only 22.5 hours per week in the 2009 budget. Securing this full-time employee during 2009 may have a minimal impact on this year's budget.

Health Trust Board Meeting – Health Trust Services staff members have been preparing for the Health Trust Board's annual two-day strategic planning meeting to be held on July 23-24. This year's agenda includes presentations on the Trust's 2008 claims utilization and trends, a new pilot Patient Centered Medical Home project, a proposed voluntary Vision Plan for Trust employer groups and several strategic items such as the introduction of a new, lower cost High Deductible Health Plan, a realignment of the Trust's plan portfolio and federal health care reform.

Risk Management Services

In RMS, we are working on a major upgrade to our iVOS claims system with MIS.

We have calculated the dividends for the WC, P&C and UC programs and will be scheduling deliveries along with the P&C coverage documents.

We are also working on convention planning for the MMA convention, County Commissioners' convention and the Wastewater convention.

Pat will be setting up a meeting with the WC Fund actuary in August or September to discuss the WC rate revisions needed for firefighters now that LD621 passed, the cancer presumption bill for firefighters.

Pete Noddin is out on medical leave, and we will be looking to fill a supervisory position shortly. Patty Shorey resigned the beginning of July.

July 15, 2009

Matthew Dunlap, Secretary of State
148 State House Station
Augusta, Maine 04333-0148

Re: **Ballot Wording – Proposed Citizen Initiative to Cut Excise Tax Rates**

Dear Secretary Dunlap,

Pursuant to Title 1 MRSA, Section 905-A, please accept these comments from the Maine Municipal Association regarding the ballot wording for the initiated bill LD 974, *An Act to Decrease the Automobile Excise Tax and Promote Energy Efficiency*.

It is our understanding that the draft ballot language you are considering would read as follows:

“Do you want to cut the rate of the excise tax on newer vehicles that owners pay to towns by about half and exempt some energy-efficient vehicles from the sales tax?”

We propose the following wording:

“Do you want to cut the rate of the excise tax on ~~newer vehicles~~ motor vehicles 5-years old or newer that owners pay to towns by about half and exempt ~~some energy-efficient hybrid~~ and other alternative technology motor vehicles from the sales tax and from the municipal excise tax for three years, thereby reducing local excise tax revenues by 40%?”

As you can see, we are proposing some variations from the draft question published by your Office. What follows are brief explanations of the proposed changes.

1. The opening description in our proposed question makes explicit reference to “motor vehicles” rather than just “vehicles” in order to provide the voters with a slightly higher level of detail. That description also identifies those motor vehicles subject to the reduced

excise tax rates as those that are “five years old or newer” rather than just “newer”. Those four additional words provide an important detail for the voters’ consideration.

2. In addition to creating a sales tax exemption, the initiated proposal creates a three-year excise tax exemption for hybrids and other alternative-energy vehicles and vehicles that are estimated to travel 40 miles or more on each gallon of motor fuel. By omitting this fact, the draft language implies that no special local tax breaks would be provided to this class of vehicles. Our proposed wording attempts to correct that omission.
3. Along the same lines, the question as drafted refers to “some energy-efficient vehicles” as the recipients of the sales tax exemption under the initiated proposal. We are unfamiliar with the term “energy-efficient” being applied to motor vehicles. We have heard the terms “fuel efficient” and “lower emissions” used with respect to cars but not “energy-efficient”. “Energy efficient” as a phrase is commonly used in connection with appliances or building materials such as doors and windows. Thus, we believe it is not the right phrase for this ballot question.

Furthermore, it is our view that adding more detail about the class of motor vehicles receiving the beneficial tax status would provide greater information to the casual voter without unnecessarily complicating the question.

4. Finally, the language we are proposing identifies by percentage the initiated proposal’s impact on municipal excise tax revenue statewide. We believe it is important for the voters to get a sense of both sides of this tax reduction proposal, including the reduced tax rates they may enjoy as well as the reduced revenue accruing to the towns and cities. We understand why the dollar-value of the fiscal impact (approximately \$82 million a year in lost excise tax revenue) may be more appropriately covered in a fiscal note to the legislation. The reference we are proposing to the “40% loss in local excise tax revenue” is provided in order to identify the proposal’s scope and to give some balance with respect to the impacts. The 40% reduction in revenue is identified in the OFPR fiscal note.

There is recent precedent for including a phrase such as this in the ballot wording. In 2000, there was a citizen initiative to allow slot machines at harness racing tracks. The wording of that question was:

“Do you want to allow video machines at Certain Horse Racing Tracks if 40% of the Profits are Used for Property Tax Relief?”

The underlined language in that ballot question informed the voter as to how a portion of the increased revenues was to be used. In the current situation, the initiative would reduce local revenues. We believe quantifying that reduction is essential.

Thank you very much for your attention to our comments.

Sincerely yours,

Christopher G. Lockwood
Executive Director
Maine Municipal Association

CC: Julie Flynn, Deputy Secretary of State

**Comments of Roger J. Katz
In Opposition to the Initiated Bill LD 974,
An Act to Decrease the Automobile Excise Tax and Promote Energy Efficiency**

Your elected municipal officials encourage Maine voters to Vote No on Question __.

Motor vehicle excise taxes are local taxes. They are collected in your hometown and the voters at town meeting or your elected representatives decide how to spend that revenue for the betterment of your community. Question __ drastically reduces this source of revenue by 40%.

Statewide, towns and cities spend \$235 million per year maintaining over 13,000 miles of local roads and over 800 local bridges. This includes plowing snow and sanding streets in the winter as well as road reconstruction and bridge repair in the summer. This work is crucial to our economy and our safety.

90% of the funds used to repair local roads and bridges comes from the motor vehicle excise taxes; just 10% from state aid. Although there is no requirement that excise taxes be dedicated for road repair, the facts clearly show that they are. The amount all our towns and cities spend on roads and bridges put together is equal to all motor vehicle excise taxes plus all state aid. This is no coincidence.

If Question __ is adopted, the quality of our roads and local bridges will deteriorate.

In addition, Question __ will only benefit people who own newer cars and hybrid-type cars. According to the Bureau of Motor Vehicles, nearly 70% of registered cars in Maine will not qualify for any benefit under this proposal. Only the owners of newer and specialty cars will get a tax break, but new cars and hybrids do just as much damage to roads as other cars.

This proposal only benefits a few. Most of us will see poorer roads or increased property taxes to make up for the lost revenue, or some combination of both.

Jill M. Goldthwait
22 Albert Meadow
Bar Harbor, Maine 04609
jillgold@gwi.net

Comments in opposition to Initiated Bill LD 974, An Act to Decrease the Automobile Excise Tax and Promote Energy Efficiency

Any hope of a strong economy in rural Maine depends on a safe and reliable transportation infrastructure. I live and work in rural Maine and right now our roads and bridges are anything but safe and reliable. They are desperately in need of smart, strategic investment and that is why I oppose Question ___ and urge you to vote No.

For businesses that do continue to struggle along in western, northern and eastern Maine, the "information highway" is not enough to make up for our vast geography and a deteriorating road network. Any business that must move product to anywhere else in the state, the country, or the world is faced with costly challenges to get that product to market. Giant potholes, crumbling road edges and washed out roadbeds put drivers and vehicles at risk every day.

A quality road system is essential for all facets of our economy, including tourism, manufacturing, agriculture and the natural resource industries, as well as high-tech enterprises such as research and development. Question ___ works directly against that goal by reducing by almost half the amount of revenue available to maintain and repair local roads.

Keeping taxes as low as possible is also important, but Question ___ may provide no tax relief at all. It will more likely just shift the costs of maintaining our roads onto the real estate property tax. A reduction in local road maintenance and repair throughout the state is not going to improve Maine's economy. It will do the opposite. Turning our backs on basic infrastructure maintenance will make Maine less attractive to entrepreneurs and investors from other states and create additional obstacles for the Maine-based businesses that are trying their best to keep and add jobs.

Vote NO on Question ___.

To: MMA Executive Committee
From: Chris Lockwood
Subj: Campaign Contribution
Date: August 12, 2009

**Telephone Conference Call
MMA Executive Committee
Monday, August 17, 2009
1:30 p.m.**

The purpose of the telephone conference call is to request authorization from the Executive Committee for an additional contribution to Citizens Unified for Maine's Future, the PAC organized to oppose the Excise Tax and TABOR II citizen initiatives.

Overview

The primary reason for requesting an additional authorization at this point in time is to strengthen the PAC's position in advance of an August 20 meeting in Washington, D.C. with the political directors of the major national labor organizations. At that meeting, Mark Gray (Executive Director of the Maine Education Association who is also serving as the Chairman of the PAC Steering Committee) and Toby McGrath (PAC campaign manager) will be requesting \$700,000 in funding from these organizations. Mark Gray has indicated that the PAC's position will be strengthened if it could point to funds raised from organizations/businesses based in Maine.

Background

MMA has worked with the Maine Education Association and other coalition partners to form Citizens Unified for Maine's Future, an umbrella Political Action Committee (PAC). We have made significant headway in building a campaign team and organization, but as with any campaign, it will be important to generate sufficient funds to support a strong campaign, including paid media and field operations.

At this point the preliminary budget for the PAC calls for an expenditure budget of approximately \$2.5 million, but a projected revenue budget of \$1.8 million. It is clear the PAC must generate additional revenues and/or cutback on projected expenditures. Within the projected revenues, the PAC anticipates receiving \$300,000 from Maine businesses based on the outreach and fundraising efforts of our consultants (Bernstein Shur Governmental Solutions and Maine Tomorrow). The Maine Education Association plans to contribute \$150,000 (in addition to over \$50,000 already spent on the campaign earlier this year).

The MMA Executive Committee set aside \$150,000 in the Legislative Initiatives Special Project Fund to support MMA's participation in the campaigns against the Excise Tax and TABOR II measures. To date, MMA has contributed \$30,000 (\$10,000 in March to assist in the preliminary PAC organizational and outreach efforts and \$20,000 in July to provide working funds to the newly formed PAC).

It is my recommendation that MMA contribute an additional \$60,000 at this time to strengthen the PAC's position in advance of the above-referenced August 20 meeting. The following resolution is offered for your consideration:

Resolved: The Maine Municipal Association Executive Committee authorizes the payment of \$60,000 to Citizens Unified for Maine's Future from the MMA Legislative Initiatives Fund.

Please let me know if you have any questions or would like additional information in advance of the conference call. For your information, we will provide a more in-depth report on the campaign and MMA activities at the August 27 Executive Committee meeting.

CONFIDENTIAL MATERIALS

**PLEASE RETURN TO MMA STAFF
AT CONCLUSION OF
EXECUTIVE COMMITTEE MEETING**

CONFIDENTIAL

CITIZENS UNIFIED FOR MAINE'S FUTURE
Financial Overview as of September 28, 2009

Balance:		\$ 509,922
Expenses outstanding:	(\$ 106,220)	
Balance:		\$ 403,702
Additional Expected Contributions:		
MMA	\$ 60,000	
MEA	\$ 100,000	
SEIU	\$ 100,000	
NEA	\$ 250,000	
Balance:		\$ 913,702
Additional Expected Contributions:		
Other Labor	\$ 200,000	
Maine Business & Individuals	\$ 250,000	
Balance:		\$1,363,702
PAC Administrative Expenses Remaining:	(\$ 250,000)	
Balance:		\$1,118,702
Media Expenses for TABOR	(\$ 853,000)	
Media Expenses for EXCISE	(\$ 538,000)	
FINAL BALANCE:		(\$ 272,298)

*No Direct Mail

CITIZENS UNIFIED FOR MAINE'S FUTURE

Contributions of as September 28, 2009

Contributions made/and pledged to-date:

NEA	\$ 375,000 + In Kind
MEA	\$ 140,000 + In Kind
SEIU	\$ 200,000 + In Kind
MSEA (SEIU)	\$ 10,000 + In Kind
MMA	\$ 150,000 + In Kind
Individual	\$ 100,000
PIKE	\$ 20,000
CPM	\$ 2,500
G-P	\$ 2,000
Individual	\$ 1,000
Individual	\$ 500
Total:	\$1,001,000

Contributions Pending:

(Labor)	\$ 70,000 - 75,000	AFSCME
	\$ 25,000	IAFF
	\$??	Teamsters (\$50,000 estimate)
	\$??	UFCW (\$25,000 estimate)
	\$??	Other AFL-CIO Unions (\$25,000 estimate)
	\$200,000 ?	Est. Labor Total
(Business)	\$ 20,000	Sargent Corp.
	\$ 7,500	Anthem
	\$ 5,000	Jackson Labs
	\$ 2,000	Wright-Pierce Co.
	\$ 2,000	HNTB Co.
	\$ 1,000	Sebago Technics & Louis Berger Engineering
	\$ 1,000	Ty Lin
	\$ 1,000	JS Sewell
	\$ 1,000	VHB Engineering
	\$ 1,000	Neil Rolde (individual)
	\$ 5,000	Robert Monks (individual)
	\$ 500	Wick Johnson (individual)

(Other Contacts/Leads)

The Campaign is aggressively pursuing a number of other possible leads with businesses, trade associations and individuals.

CITIZENS UNIFIED FOR MAINE'S FUTURE

Polling Results

TABOR

DATE	YES	NO
May	61	34
August (read actual Ballot question)	60	33
September 21-22 Poll Tracking (read actual Ballot question)	53	33

EXCISE TAX

DATE	YES	NO
February	64	33
May	54	39
August (read actual Ballot question)	42	50
September 21-22 Poll Tracking (read actual Ballot question)	41	53